

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2004**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Alito, Samuel A	2. Court or Organization U.S.Ct.of App. for the 3d Cir.	3. Date of Report 5/12/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)  Circuit Judge, Active	5. ReportType (check appropriate type)  <input type="radio"/> Nomination,      Date  <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period  1/1/2004 to 12/31/2004
7. Chambers or Office Address U.S. Courthouse & P.O. Bldg. P.O. Box 999 Newark, N.J. 07101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Association of the Federal Bar of N.J.
2. Member	Democracy Project's Sentencing Initiative
3. Advisory Board Member	Yale Law Journal Advisory Board

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

**RECEIVED**  
 2005 MAY 13 A 11:41  
 FINANCIAL OFFICE  
 DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Alito, Samuel A

Date of Report

5/12/2005

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** NONE - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2004	Seton Hall Law School (teaching)	\$6,000
2.			

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) NONE - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Caldwell-West Caldwell Board of Education [redacted] (teaching)

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Harvard Law School	Judged Moot Court, Cambridge, MA, March 17-18, 2004 (Transportation, lodging, meals)
2.	American Bar Association	Judged Moot Court, Orlando, FLA, March 26-27, 2004 (Transportation, lodging, meals)
3.	George Mason University Law & Economics Center	Attended seminar, San Diego, CA, Oct. 7-10, 2004 (Transportation, lodging, meals)
4.	Georgetown University	Attended meeting of Democracy Project Sentencing Initiative, Wash., DC, Oct. 25, 2004 (Transportation)
5.	Federalist Society	Moderated panel discussion, Washington, DC, Nov. 11, 2004 (Lodging and meals)
6.	Georgetown University	Attended meeting of Democracy Project Sentencing Initiative, Wash., DC, Dec. 16, 2004 (Transportation)

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- I)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. NJ Healthcare Rev. Bonds	A	Interest	J	T					
2. US Savings Bonds Series EE		None	K	T					
3. Vang. Tax Ex. Mny. Mkt. Fund	A	Interest	L	T					
4. Vang. Inter. Term Tax Ex. Fund	C	Int.	L	T					
5. Vang. Ins. L. T. Tax Ex. Fund	B	Int, cg	K	T					
6. Vang. Star Mut. Fund	A	Dividend	K	T					
7. Vang. Wellington Mut. Fund	D	Div., c.g.	L	T					
8. Smith Barney Money Funds Cash Port.	A	Dividend	J	T					
9. PNC Bank Acct.		None	J	T					
10. Vang. NJ Tax Ex. Mny. Mkt.	A	Interest	L	T					
11. Vang. NJ Ins. L. T. Tax Ex. Fund	B	Int.,div, cg	K	T					
12. Vang. Small Cap. Stock Fund	A	Dividend	L	T					
13. Vang. Total Stock Mkt. Index F.	B	Dividend	L	T					
14. Windsor II	A	Dividend	K	T					
15. Fidelity Eq.-Inc. II Fund	A	Div.	K	T					
16. Windsor II	A	Dividend	K	T					
17. INTC Common Stock	A	Dividend	K	T					
18. Vang. Tax Ex. Mny. Mkt.	A	Interest	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting Alito, Samuel A	Date of Report 5/12/2005
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Vang. Tax Ex. Mny. Mkt.	A	Interest	L	T					
20. DIS Common Stock	A	Dividend	J	T					
21. Vang. Inter.- Term. Bond Index F.	A	Div., cap.g.	K	T					
22. Citibank Deposit Program	A	Interest	J	T					
23. BMY Common Stock	A	Dividend	J	T					
24. MCD Common Stock	A	Dividend	K	T					
25. XOM Common Stock	B	Dividend	M	T	Bequest	5-13	M		
26. Sovereign Bank Acct.			J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

5/2/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544